



Atty. Dkt. No. 016907-1378

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#6  
BT  
8-14-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kazuhiro OGURA et al.  
Title: ADVERTISEMENT TRANSMITTING SYSTEM  
Appl. No.: 10/084,636  
Filing Date: 02/28/2002  
Examiner: Unassigned  
Art Unit: 2152

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AUG 06 2003

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

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AUG 12 2003  
**GROUP 3600**

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b) before the mailing date of the first Office Action on the merits.

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**RELEVANCE OF EACH DOCUMENT**

The foreign-language documents were cited by the examiner in Applicants' corresponding Japanese application. According to an English translation of the Japanese Patent Office office action, document B2 discloses that a printed advertisement document is given to a customer coming to a shop. Further, the JPO office action states that documents B3-B5 disclose that an advertisement is sent to a portable terminal of a customer. Further, document B6 discloses that a ticket is printed in response to a print request from a portable terminal. Document B7 relates to printing information on the surface of a ticket.

As noted, the characterizations of the Japanese Patent Office noted above are based on an English translation obtained by Applicants' representative. The disclosure of these characterizations should not be construed as an admission of or agreement to the opinions expressed in the Japanese Office Action.

Document B1 and Document B4 claim priority based on the same first-filed application.

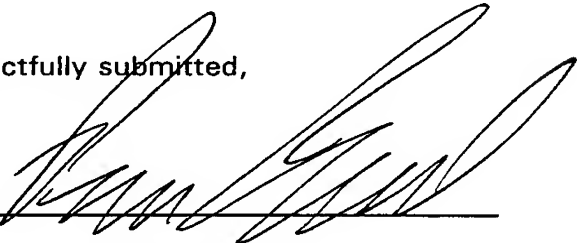
English translations of the foreign-language documents are not readily available. However, the absence of such translations does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are provided herewith.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By



Pavan K. Agarwal  
Attorney for Applicant  
Registration No. 40,888

Date: August 5, 2003

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Application Number</b>	10/084,636
Date Submitted: August 5, 2003		<b>Filing Date</b>	02/28/2002
(use as many sheets as necessary)		<b>First Named Inventor</b>	Kazuhiro OGURA
Sheet 1 of 1		<b>Group Art Unit</b>	2152
		<b>Examiner Name</b>	Unassigned
		<b>Attorney Docket Number</b>	016907-1378

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	B1	6,047,310		KAMAKURA et al.	04-04-2000	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B2	JP	11-219481	A	NRI et al.	08-10-1999		Abs.
	B3	JP	2000-268090	A	NETBANK SERVICE	09-29-2000		Abs.
	B4	JP	9-91358	A	FUJITSU LTD.	04-04-1997		Abs.
	B5	JP	2001-5883	A	NTT DATA CORP.	01-12-2001		Abs.
	B6	JP	2000-312260	A	HAGIWARA SHOZO	11-07-2000		Abs.
	B7	JP	10-69553	A	SEIKO EPSON CORP.	03-10-1998		Abs.

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.